## On Cartesian Trees,

## Lowest Common Ancestors, and Range Minimum Queries



Parallel Computing Day Ben-Gurion University

## RMQ



## RMQ



## RMQ

- Applications:

- String Processing \& Computational Biology
- Search Engines and Document Retrieval
- Equivalence to LCA
- Database Queries


## RMQ

## \& Cartesian Trees

| 2 | 0 | 4 | 3 | 5 | 1 | 7 | 6 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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(4) (5) $7 \mathrm{O}^{2}(n)$ [Gabow, Bentley, Tarjan 1984]

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[Harel, Tarjan 1984]
$\left\{\begin{array}{l}{[\text { Schieber, Vishkin 1988] }} \\ {[\text { Berkman, Vishkin 1993] }} \\ {[\text { Bender } \text { et al. 2005] }}\end{array}\right.$
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LCA: O(n) prep. O(1) query [Harel, Tarjan 1984]
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## RMQ

- Warmup: $\mathrm{O}(n \log n)$ prep. $\mathrm{O}(1)$ query:

| 2 | 0 | 4 | 3 | 5 | 4 | 7 | 0 | 5 | 6 | 1 | 4 | 8 | 6 | 7 | 3 | 4 | 2 | 5 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## RMQ

- Warmup: $\mathrm{O}(n \log n)$ prep. $\mathrm{O}(1)$ query:
- Compute min of every interval $I$ s.t $|I|$ is a power of two

| 2 | 0 | 4 | 3 | 5 | 4 | 7 | 0 | 5 | 6 | 1 | 4 | 8 | 6 | 7 | 3 | 4 | 2 | 5 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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## RMQ

$\left.$| 2 | 0 | 4 | 3 | 5 | 4 | 7 | 0 | 5 | 6 | 1 | 4 | 8 | 6 | 7 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | $4_{4} \right\rvert\,$| 5 |
| :--- |

## RMQ



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## $R M Q \pm 1$

$-\mathrm{RMQ} \Rightarrow \mathrm{LCA} \Rightarrow \mathrm{RMQ} \pm$


## $R M Q \pm 1$

- $\mathrm{RMQ} \Rightarrow \mathrm{LCA} \Rightarrow \mathrm{RMQ} \pm 1$
- \# different Blocks $=\#$ different $\pm$ vectors $=2^{1 / 4} \log n=n^{1 / 4}$
- Lookup table



## Recursive Solution

- Use the "Warmup" solution on each block



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- Use the "Warmup" solution on each block
- $\mathrm{O}(n \log \log n)$ prep. $\mathrm{O}(1)$ query
- O( $n \log \log \log n)$ prep. O(1) query



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- $\mathrm{O}\left(n \alpha_{\mathrm{k}}(n)\right)$ prep. $\mathrm{O}(\mathrm{k})$ query [Alon\&Schieber 1987, Chazelle\&Rosenberg 1989]



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- Why?
- MAN $\Rightarrow$ any semiring operation
- RMQ generalizations
- Parallel Computing


## Parallel RMQ <br> [Berkman and Vishkin 1993]

| 1 | 0 | 1 | 2 | 3 | 2 | 1 | 0 | 1 | 2 | 1 | 2 | 3 | 4 | 5 | 4 | 3 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

# Parallel RMQ [Berkman and Vishkin 1993] 

- Min of $n$ elements in $\mathrm{O}(1)$ time using $n^{2}$ processors [Valiant 1975]

| 1 | 0 | 1 | 2 | 3 | 2 | 1 | 0 | 1 | 2 | 1 | 2 | 3 | 4 | 5 | 4 | 3 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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- O(1) RMQ using $n^{\star}$ processors

| 1 | 0 | 1 | 2 | 3 | 2 | 1 | 0 | 1 | 2 | 1 | 2 | 3 | 4 | 5 | 4 | 3 | 2 | 3 | 4 |
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- O(1) RMQ using $n^{4}$ processors
- O(1) RMQ using $n^{2.5}$ processors
- $\mathrm{O}(1 / \varepsilon) \mathrm{RMQ}$ using $n^{1+\varepsilon}$ processors



# Parallel RMQ $\pm$ I <br> [Berkman and Vishkin 1993] 

|  |
| :---: |

# Parallel RMQ $\pm 1$ <br> [Berkman and Vishkin 1993] 

- Min of $n$ integers each between 1 and $n$ in $\mathrm{O}(1)$ time using $n$ processors [Fich, Ragde and Wigderson 1984]

| 1 | 0 | 1 | 2 | 3 | 2 | 1 | 0 | 1 | 2 | 1 | 2 | 3 | 4 | 5 | 4 | 3 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

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- $n \log ^{3} n$ processors, $\mathrm{O}(1)$ time, $\mathrm{O}(1)$ query


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- $n \alpha_{\mathrm{k}}(n)$ processors, $\mathrm{O}(\mathrm{k})$ time, $\mathrm{O}(\mathrm{k})$ query


## Problems with RMQ $\pm 1$

$\cdot \mathrm{RMQ} \Rightarrow \mathrm{LCA} \Rightarrow \mathrm{RMQ} \pm 1$

| 2 | 0 | 4 | 3 | 5 | 4 | 7 | 0 | 5 | 6 | 1 | 4 | 8 | 6 | 7 | 3 | 4 | 2 | 5 | 4 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

## Problems with RMQ $\pm 1$

- $\mathrm{RMQ} \Rightarrow \mathrm{LCA} \Rightarrow \mathrm{RMQ} \pm$
- inefficient in parallel
- inefficient in terms of cache-misses (can't be done via scans)

| 2 | 0 | 4 | 3 | 5 | 4 | 7 | 0 | 5 | 6 | 1 | 4 | 8 | 6 | 7 | 3 | 4 | 2 | 5 | 4 |
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[Fischer, Heun 2006]
- Lookup table: index, construct


## Cache-Oblivious RMQ [Demaine, Landau and W. 2009]

- An optimal RMQ solution that only makes sequential scans $\uparrow$
$\mathrm{O}(n)$ prep. $\mathrm{O}(1)$ query (serial algorithm)


# A Cache-Oblivious Cartesian Tree 



## A Cache-Oblivious

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- cache-oblivious stack holds rightmost path


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- cache-oblivious stack holds rightmost path
- when we climb (pop) $i$ vertices, concatenate $0 \underbrace{0111 \cdots 11}_{i}$


## A Cache-Oblivious

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$$
0 \underbrace{11 \cdots}_{i_{1}} 0 \underbrace{11 \cdots 1}_{i_{2}} 0 \underbrace{11 \cdots 1}_{i_{3}} 0 \underbrace{11 \cdots 1}_{i_{4}}
$$

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- $\forall$ binary string and $\forall$ query:

$$
0 \underbrace{11 \cdots 1}_{i_{1}} 0 \underbrace{1 \cdots 1}_{i_{2}} 0 \underbrace{11 \cdots 1}_{i_{3}} 0 \underbrace{11 \cdots 1}_{i_{4}} \in[\sqrt{n}]
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## A Cache-Oblivious

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# RMQ Generalization I: A Cartesian Tree of a Tree [Demaine, Landau and W. 2009] 

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 A Cartesian Tree of a Tree- The Bottleneck Edge Query problem (RMQ on graphsltrees): - preprocess an edge-weighted graph


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## RMQ Generalization I: A Cartesian Tree of a Tree

- Construct Cartesian tree of an input tree in $\mathrm{O}(n+\operatorname{sort}(\mathrm{edges}))$



# RMQ Generalization I: A Cartesian Tree of a Tree 

- Construct Cartesian tree of an input tree in $\mathrm{O}(n+\operatorname{sort}(e d g e s))$
- Tight lower bound



## RMQ Generalization I: Compared to AlonSchieber

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- Construct Cartesian tree of an input tree in $\mathrm{O}(n+\operatorname{sort}(e d g e s))$
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- Linear-time if edge-weights are sorted or integers



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- Otherwise we get linear-space, $\mathrm{O}\left(n \log ^{[k]} n\right)$ prep. $\mathrm{O}(k)$ query



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- Tight lower bound
- Linear-time if edge-weights are sorted or integers
- Otherwise we get linear-space, $\mathrm{O}\left(n \log { }^{[k]} n\right)$ prep. $\mathrm{O}(k)$ query
- Maintain dynamic Cartesian tree and LCA info
- Distributed: LCA labeling of the Cartesian tree



## RMQ Generalization II: 2D Cartesian Tree [Demaine, Landau and W. 2009]

## RMQ Generalization II: 2D Cartesian Tree

- The 2D-RMQ problem:


## RMQ Generalization II: 2D Cartesian Tree

- The $2 \mathrm{D}-\mathrm{RMQ}$ problem:

| 2 | 0 | 4 | 3 | 1 | 9 | 3 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 | 3 | 4 | 3 | 5 | 0 | 7 | 6 |
| 2 | 0 | 3 | 8 | 5 | 6 | 4 | 4 |
| 4 | 7 | 4 | 3 | 5 | 8 | 8 | 6 |
| 2 | 8 | 1 | 8 | 5 | 1 | 7 | 6 |
| 2 | 0 | 4 | 3 | 5 | 5 | 7 | 6 |

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| 7 | 3 | 4 | 3 | 5 | 0 | 7 | 6 |
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| 4 | 7 | 4 | 3 | 5 | 8 | 8 | 6 |
| 2 | 8 | 1 | 8 | 5 | 1 | 7 | 6 |
| 2 | 0 | 4 | 3 | 5 | 5 | 7 | 6 |

- $\mathrm{O}\left(n^{2} \log n\right)$ prep. $\mathrm{O}(\log n)$ query [Gabow, Bentley, Tarjan 1984]
- $\mathrm{O}\left(n^{2} \alpha_{\mathrm{k}}(n)^{2}\right)$ prep. $\mathrm{O}(k)$ query [Chazelle, Rosenberg 1989]
- $\mathrm{O}\left(n^{2} \log ^{[k]} n\right)$ prep, $\mathrm{O}\left(n^{2}\right)$ space, $\mathrm{O}(k)$ query [Amir, Fischer, Lewenstein 2007]


## RMQ Generalization II: 2D Cartesian Tree

- The 2D-RMQ problem:

No 2D Cartesian tree:
\# different 2D-RMQ matrices $\approx n^{2}$ !

| 2 | 0 | 4 | 3 | 1 | 9 | 3 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 | 3 | 4 | 3 | 5 | 0 | 7 | 6 |
| 2 | 0 | 3 | 8 | 5 | 6 | 4 | 4 |
| 4 | 7 | 4 | 3 | 5 | 8 | 8 | 6 |
| 2 | 8 | 1 | 8 | 5 | 1 | 7 | 6 |
| 2 | 0 | 4 | 3 | 5 | 5 | 7 | 6 |

- $\mathrm{O}\left(n^{2} \log n\right)$ prep. $\mathrm{O}(\log n)$ query [Gabow, Bentley, Tarjan 1984]
- $\mathrm{O}\left(n^{2} \alpha_{\mathrm{k}}(n)^{2}\right)$ prep. $\mathrm{O}(k)$ query [Chazelle, Rosenberg 1989]
- $\mathrm{O}\left(n^{2} \log { }^{[k]} n\right)$ prep, $\mathrm{O}\left(n^{2}\right)$ space, $\mathrm{O}(k)$ query [Amir, Fischer, Lewenstein 2007]


## RMQ Generalization II: 2D Cartesian Tree

- The 2D-RMQ problem:

No 2D Cartesian tree:
\# different 2D-RMQ matrices $\approx n^{2}$ !

| 2 | 0 | 4 | 3 | 1 | 9 | 3 | 3 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 7 | 3 | 4 | 3 | 5 | 0 | 7 | 6 |
| 2 | 0 | 3 | 8 | 5 | 6 | 4 | 4 |
| 4 | 7 | 4 | 3 | 5 | 8 | 8 | 6 |
| 2 | 8 | 1 | 8 | 5 | 1 | 7 | 6 |
| 2 | 0 | 4 | 3 | 5 | 5 | 7 | 6 |

- $\mathrm{O}\left(n^{2} \log n\right)$ prep. $\mathrm{O}(\log n)$ query [Gabow, Bentley,Tarjan 1984]
- $\mathrm{O}\left(n^{2} \alpha_{k}(n)^{2}\right)$ prep. $\mathrm{O}(k)$ query [Chazelle, Rosenberg 1989]
- $\mathrm{O}\left(n^{2} \log ^{[k]} n\right)$ prep, $\mathrm{O}\left(n^{2}\right)$ space, $\mathrm{O}(k)$ query [Amir, Fischer, Lewenstein 2007]
- $\mathrm{O}\left(n^{2}\right)$ prep. $\mathrm{O}(1)$ query [Yuan, Atallah 2010]


## Thank You!

